(For the candidates admitted from 2024–2025 onwards)

M.Com. DEGREE EXAMINATION, MAY 2025

First Semester

CAPITAL MARKETS

Time: Three hours

Maximum: 75 marks

PART A — $(10 \times 2 = 20 \text{ marks})$

Answer ALL questions

- 1. What is known as a financial instrument?
- 2. Define the term Warrant.
- 3. What is SEBI?
- 4. Explain the term "Insider Trading".
- 5. Write a short note on NIM.
- 6. What do you mean listing?
- 7. Write a brief note on stock Exchange.
- 8. Expand BSE.
- 9. What is called Book Building?
- 10. Expand the term NSDL.

PART B —
$$(3 \times 5 = 15 \text{ marks})$$

Answer any THREE questions.

- 11. State the functions of financial markets.
- 12. Explain the objectives of SEBI.
- 13. What are the methods of New Issue?
- 14. Who are all the stock Exchange Traders?
- 15. Explain electronic settlement Trade.

PART C — $(5 \times 8 = 40 \text{ marks})$

Answer ALL questions.

16.	(a)) <u>F</u>	Examine	the ro	le of	financial	marl	cets in	economic	deve.	lopment.
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Or

- (b) Write short notes on the following:
 - (i) Company fixed deposits.
 - (ii) Debentures and Bonds.
- 17. (a) Explain the powers of SEBI.

Or

- (b) How investor protection in India works now?
- 18. (a) Who are the intermediaries in the New Issues Market (NIM)?

Or

- (b) State the benefits of listing.
- 19. (a) Examine the steps in stock trading process.

Or

- (b) Explain the regulations of stock Exchange in India.
- 20. (a) Explain eIPo and its benefits to Investors today.

Or

(b) Describe online stock trading.